

4/18/JDS  
2-15-01  
R. Dandes

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue Application ) REISSUE PATENT APPLICATION  
Inventor: Richard Chao )  
Reissue Application No.: 09/182,862 ) Art Unit: 2873  
Filed: 10/21/98 ) Examiner: Mai, H.  
Patent No.: 5,568,207 ) Customer No.: 23910  
Title: AUXILIARY LENSES FOR )  
EYEGLASSES )

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VIA HAND DELIVERY

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56.

**Enclosed with this statement are the following:**

- Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- A copy of each cited document as required by 37 C.F.R. §1.98. Copies are not submitted of U.S. applications, 37 C.F.R. §1.98(a)(2)(iii), and copies are not submitted of documents already cited or submitted in a parent application from which benefit under 35 U.S.C. §120 is claimed, 37 C.F.R. §1.98(d). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevancy is provided pursuant to 37 C.F.R. §1.98(a)(3). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. 57 F.R. 2021 (1/17/92). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement. 37 C.F.R. §1.98(c). ***This statement should be considered because:***

— This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within 3 months of the application filing date;  
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;  
-- OR --
- (3) It is being filed before the mailing date of the first Office action on the merits, whichever occurs last.

Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL Office Action and before a Notice of Allowance (whichever occurs first)

— -- AND (*check at least one of the following*) --

- (1) It is accompanied by the \$240 fee set forth in 37 C.F.R. §1.17(p)  
-- OR --
- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e)

— Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);  
-- AND --
- (2) It is accompanied by a PETITION TO ACCEPT INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(d);  
-- AND --
- (3) It is accompanied by the \$130 fee set forth in 37 C.F.R. §1.17(i)(1);  
-- AND --
- (4) The Issue Fee has not yet been paid.

**Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

FLIESLER, DUBB, MEYER & LOVEJOY LLP

Date: November 29, 2000  
By: Brian I. Marcus  
Brian I. Marcus  
Reg. No. 34,511

Form PTO-1449 <i>(Substitute)</i>	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number CONT1013us1SRM/BIM	Serial/Patent Number 09/182,862
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		Applicant/Patent Owner David Chao	
		Filing/Issue Date August 21, 1998	Group Art Unit 2873

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
		2,737,847	3/13/56	Tesauro	351/	41	3/13/56
Q		2,770,168	11/13/56	Tesauro	351/	41	10/5/53
S		3,498,701	3/3/70	Miller	351/	57	1/11/68
B		3,531,188	9/29/70	LeBlanc, et al.	351/	48	5/31/68
R		3,565,517	2/23/71	Gitlin, et al.	351/	106	
G		3,582,192	6/1/71	Gitlin, et al.	351/	52	9/29/69
D		3,838,914	10/1/74	Fernandez	351/	106	6/8/73
H		4,196,981	4/8/80	Waldrop	351/	59	1/21/78
J		4,547,909	10/22/85	Bell	2/	431	11/17/83
H		4,988,181	1/29/91	Riach	351/	52	4/16/90
E		5,181,051	1/19/93	Townsend, et al.	351/	52	1/17/91
		5,243,366	9/7/93	Blevins	351/	57	1/13/92
		5,321,442	6/14/94	Albanese	351/	44	2/25/92
		5,389,981	2/14/95	Riach	351/	158	6/22/93
		5,410,763	5/2/95	Bolle	2/	436	2/11/93
		5,416,537	5/16/95	Sadler	351/	57	5/22/94

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Country	Class	Subclass	Trans- lation Yes   No
		1117593	2/29/96	China			X
		0,469,699	2/5/92	E.P.O.			
		0,743,545	11/20/96	E.P.O.			
		2,657,436	7/26/91	France			X
		1266652	12/4/81	France			X

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Subclass	Trans-lation Yes   No
		1037755	9/22/53	France			X
		915,421	11/6/46	France			X
		2,483,632	4/12/81	France			X
		43 16 698	11/17/94	Germany			X
		1797366	1/28/71	Germany			X
		3921987	1/17/91	Germany			X
BB		3920879	1/3/91	Germany			X
BB		3919489	12/20/90	Germany			X
BB		39333310	1/31/91	Germany			X
BB		9216919	2/18/93	Germany			X
BB		3905041	8/23/90	Germany			X
BB		5-40493	10/14/93	Japan			X
BB		1-136114	5/29/89	Japan			X
BB		57-184910	11/24/82	Japan			X
BB		55-50217	4/11/80	Japan			X
BB		54-111842	9/1/79	Japan			X
BB		612621	1/9/86	Japan			X
BB		44-15392	7/3/69	Japan			X
BB		5-157997	6/25/93	Japan			X
BB		56-29209	3/24/81	Japan			X
BB		54-111841	9/1/79	Japan			X
BB		220885	6/28/68	Russia			X
BB		572,222	1/30/76	Switzerland			X
BB		274588	4/21/96	Taiwan			X
BB		846,425	8/31/60	United Kingdom			
BB		855,268	11/30/60	United Kingdom			

## OTHER DOCUMENTS (Include Author, Title, Date, Pertinent pages, etc. )

1.	English language abstract of Japanese Publication No. 55-50217.
2.	English language abstract of Japanese Publication No. 54-111842.

OTHER DOCUMENTS (Include Author, Title, Date, Pertinent pages, etc. )		
	3.	English language abstract of Japanese Publication No.54-111841.
	4.	English language abstract of Japanese Publication No.1-136114.
	5.	English language abstract of Japanese Publication No.56-29209.
	6.	English language abstract of Japanese Publication No.44-15392.
	7.	English language abstract of Japanese Publication No.5-157997.
	8.	English language abstract of Japanese Publication No. 612621.
	9.	English language abstract of Japanese Publication No. 5-40493
	10.	English language abstract of German Publication No. 9216919.
	11.	English language abstract of German Publication No.1797366.
	12.	English language abstract of German Publication No.43 16 698.
	13.	English language abstract of German Publication No.39333310.
	14.	English language abstract of German Publication No.3921987.
	15.	English language abstract of German Publication No.3920879.
	16.	English language abstract of German Publication No.3919489.
	17.	English language abstract of German Publication No.3905041.
	18.	English language abstract of French Publication No.1266652.
	19.	English language abstract of French Publication No.1037755.
	20.	English language abstract of French Publication No.2,657,436.
	21.	English language abstract of French Publication No.2,483,632.
	22.	English language abstract of French Publication No.915,421.
	23.	English language abstract of Switzerland Publication No.572,222.
	24.	English language abstract of Russian Publication No.220885.
	25.	English language abstract of Taiwan Publication No.274588.
	26.	English language abstract of Chinese Publication No.1117593.
Examiner		Date Considered

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1 = Copy not submitted because it was submitted in prior application SN /\_\_\_\_\_, filed \_\_\_\_\_, 19\_\_\_\_\_.  
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November 29, 2000

VIA FEDERAL EXPRESS

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Re: Information Disclosure Statement

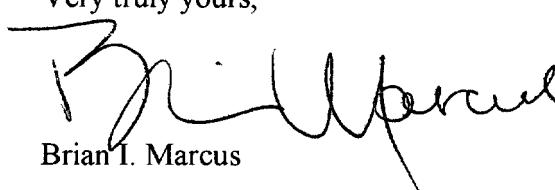
File: U.S. Patent Re-Issue Application entitled  
“Auxiliary Lenses For Eyeglasses”  
Inventors: Richard Chao  
Serial No.: 09/182,862  
Filed: October 21, 1998  
Our File No.: CONT-01013US1 SRM/BIM

Dear David:

Enclosed in connection with the above-identified patent application is an information disclosure statement. Please hand deliver the IDS to Examiner H. Mai in Group Art Unit 2873.

Please do not hesitate to contact me should you have any questions.

Very truly yours,

  
Brian I. Marcus

BIM:lpm  
Enclosures  
bim/cont/1013us1.017.wpd